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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/019,177	12/21/2001	Alfred Busch	CM2175	3917

27752 7590 09/05/2003

THE PROCTER & GAMBLE COMPANY  
INTELLECTUAL PROPERTY DIVISION  
WINTON HILL TECHNICAL CENTER - BOX 161  
6110 CENTER HILL AVENUE  
CINCINNATI, OH 45224

EXAMINER

HARDEE, JOHN R

ART UNIT

PAPER NUMBER

1751

DATE MAILED: 09/05/2003

5

Please find below and/or attached an Office communication concerning this application or proceeding.

AS-5

<b>Office Action Summary</b>	Application N .	Applicant(s)
	10/019,177	BUSCH ET AL.
	Examiner	Art Unit
	John R Hardee	1751

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) Responsive to communication(s) filed on \_\_\_\_\_.

2a) This action is **FINAL**.      2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) Claim(s) 1-20,22 and 23 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) 1-14 and 17-20 is/are allowed.

6) Claim(s) 16,22 and 23 is/are rejected.

7) Claim(s) 15 is/are objected to.

8) Claim(s) 1-20,22 and 23 are subject to restriction and/or election requirement.

**Application Papers**

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.

If approved, corrected drawings are required in reply to this Office action.

12) The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All b) Some \* c) None of:

1. Certified copies of the priority documents have been received.

2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.

3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).

a) The translation of the foreign language provisional application has been received.

15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

1) Notice of References Cited (PTO-892)

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) 4.

4) Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_

5) Notice of Informal Patent Application (PTO-152)

6) Other: \_\_\_\_\_

**DETAILED ACTION**

***Election/Restrictions***

1. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claim(s) 1-24, drawn to reaction products of aminoaryl derivatives.

Group II, claim(s) 1-24, drawn to reaction products of amino acids and derivatives.

Group III, claim(s) 1-24, drawn to reaction products of substituted amines.

Group IV, claim(s) 1-24, drawn to reaction products of substituted amides.

Group V, claim(s) 1-24, drawn to reaction products of glucamines.

Group VI, claim(s) 1-24, drawn to reaction products of dendrimers.

Group VII, claim(s) 1-24, drawn to reaction products of polyvinylamines, derivatives or copolymers thereof.

Group VIII, claim(s) 1-24, drawn to reaction products of alkylene polyamine.

Group IX, claim(s) 1-24, drawn to reaction products of polyoxyethylene bis amine or aminoalkyl.

Group X, claim(s) 1-24, drawn to reaction products of aminoalkyl piperazine and derivatives.

Group XI, claim(s) 1-24, drawn to reaction products of bis (aminoalkyl) alkyl diamines.

Group XII, claim(s) 1-24, drawn to reaction products of polyethyleneimines.

Group XIII, claim(s) 1-24, drawn to reaction products of 1,4-bis-(3-aminopropyl)-1,3-piperazine.

2. The inventions listed as Groups I-XIII do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: Any feature which unites the inventions fails to make a contribution over the prior art in view of the reference marked "X" in the PCT Search Report.
3. During a telephone conversation with Mr. Jim McBride on July 31, 2003 a provisional election was made with traverse to prosecute the invention of Group XII, claims 1-20, 22 and 23. Affirmation of this election must be made by applicant in replying to this Office action. No claims were withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention, but the claims were searched and examined only to the extent that they read upon the elected invention. No claims can pass to issue until all non-elected subject matter has been deleted from the claims.
4. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

***Claim Objections***

5. Claim 15 is objected to because of the following informalities: This claim should depend from "any of" claims 1-14, rather than all of claims 1-14. Appropriate correction is required.

***Claim Rejections - 35 USC § 112***

6. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

7. Claim 16, 22 and 23 provides for the use of granular reaction products, but, since the claims do not set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass. A claim is indefinite where it merely recites a use without any active, positive steps delimiting how this use is actually practiced.

Claims 16, 22 and 23 are rejected under 35 U.S.C. 101 because the claimed recitation of a use, without setting forth any steps involved in the process, results in an improper definition of a process, i.e., results in a claim which is not a proper process claim under 35 U.S.C. 101. See for example *Ex parte Dunki*, 153 USPQ 678 (Bd.App. 1967) and *Clinical Products, Ltd. v. Brenner*, 255 F. Supp. 131, 149 USPQ 475 (D.D.C. 1966).

***Allowable Subject Matter***

8. Claims 1-14 and 17-20 are allowable *to the extent that they read on the elected subject matter*. Claim 15 would be allowable if the objection were overcome, and if the non-elected subject matter were deleted from the claims.

9. The following is an examiner's statement of reasons for allowance: The closest prior art of record is Brodie, III, et al., which discloses compositions comprising polyalkyleneimines, preferably polyethyleneimines, which are used for scavenging aldehydes. Binding agents, preferably polymers with carboxyl functionality may be added to further bind the polyimine to its polyester matrix. This material appears to be solid. As such it reads on applicant's recitation of a "particle" in the absence of any grinding or agglomeration step. However, this polyimine-acid material is made prior to reaction with an aldehyde, which is contrary to the recitation of the present claims. Accordingly, the claims are patentable over the prior art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

10. Any prior art made of record and not relied upon is of interest and is considered pertinent to applicant's disclosure.

11. Any inquiry concerning this communication or earlier communications from the

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examiner should be directed to the examiner, Dr. John R. Hardee, whose telephone number is (703) 305-5599. The examiner can normally be reached on Monday through Friday from 8:00 until 4:30. In the event that the examiner is not available, his supervisor, Dr. Yogendra Gupta, may be reached at (703) 308-4708.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0661.



John R. Hardee  
Primary Examiner  
August 29, 2003